

RESOLUTION NO. 2017-45

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN
ACCEPTING AND DIRECTING THE SUBMITTAL OF THE 2016 HOUSING ELEMENT ANNUAL
PROGRESS REPORT TO THE STATE DEPARTMENT OF HOUSING AND COMMUNITY
DEVELOPMENT (HCD) AND THE STATE OFFICE OF PLANNING AND RESEARCH (OPR)

The City Council of the City of Rocklin does resolve as follows:

Section 1. The City Council of the City of Rocklin accepts and directs the submittal of the 2016 Housing Element Annual Progress Report to the State Department of Housing and Community Development (HCD) and the State Office of Planning and Research (OPR) attached hereto as Exhibit "A" and by this reference incorporated herein.

PASSED AND ADOPTED this 28th day of March, 2016, by the following vote:

AYES: Councilmembers: Janda, Gayaldo, Broadway, Patterson, Yuill

NOES: Councilmembers: None

ABSENT: Councilmembers: None

ABSTAIN: Councilmembers: None



Scott Yuill, Mayor

ATTEST:



Barbara Ivanusich, City Clerk

Exhibit A

**Housing Element Annual Progress Report 2016
and
Housing Successor Annual Report
Low and Moderate Income Housing Asset Fund
For Fiscal Year 2015/16**

ANNUAL ELEMENT PROGRESS REPORT Housing Element Implementation

(CCR Title 25 §6202)

Jurisdiction: City of Rocklin
Reporting Period: 01/01/2016 - 12/31/2016

Table A
Annual Building Activity Report Summary - New Construction
Very Low-, Low-, and Mixed-Income Multifamily Projects

| 1 | 2 | 3 | 4 | | | | 5 | 5a | 6 | | 7 | 8 |
|--|---------------|-------------------------------|------------------------------------|------------|-----------------|-----------------------|-------------------------|----------------------|--|-----------------------|------------------|---|
| | | | Housing Development Information | | | | | | Housing with Financial Assistance and/or Deed Restrictions | | | |
| Project Identifier (may be APN No., project name or address) | Unit Category | Tenure R=Renter O=Owner | Affordability by Household Incomes | | | | Total Units per Project | Est. # Infill Units* | Assistance Programs for Each Development | Deed Restricted Units | See Instructions | Note below the number of units determined to be affordable without financial or deed restrictions and attach an explanation how the jurisdiction determined the units were affordable. Refer to instructions. |
| | | | Very Low-Income | Low-Income | Moderate-Income | Above Moderate-Income | | | See Instructions | See Instructions | | |
| Garnet Creek Apts (Typical Units) | | R | | | 165 | | 165 | | | | | |
| Garnet Creek Apts (Premium Units) | | R | | | | 55 | 55 | | | | | Published market rental rates for these units are less than 120% median income. Therefore, these units have been counted as moderate. 1bdrm/\$1,480 - \$1640; 2bdrm/\$1,850; 3bdrm/\$2,190. There are specific 2 and 3 bedroom units in the project which due to their location have premiums added to the standard rents (i.e., they are located on a corner of a building so the unit contains more windows or they are situated in a highly desirable physical location on the property - adjacent to open space). These factors are considered an enhancement; therefore the rental rates charged for these particular units are at above moderate rates 2bdrm/ up to \$2,780; 3bdrm/ up to \$2,275. |
| (9) Total of Moderate and Above Moderate from Table A3 | | | | | 165 | 55 | 220 | | | | | |
| (10) Total by income Table A/A3 | | | | | 165 | 55 | 220 | | | | | |
| (11) Total Extremely Low-Income Units* | | | | | | 0 | 0 | | | | | |

* Note: These fields are voluntary

ANNUAL ELEMENT PROGRESS REPORT Housing Element Implementation

(CCR Title 25 §6202)

Jurisdiction City of Rocklin
Reporting Period 01/01/2016 - 12/31/2016

**Table A2
Annual Building Activity Report Summary - Units Rehabilitated, Preserved and Acquired pursuant to GC Section 65583.1(c)(1)**

Please note: Units may only be credited to the table below when a jurisdiction has included a program it its housing element to rehabilitate, preserve or acquire units to accommodate a portion of its RHNA which meet the specific criteria as outlined in GC Section 65583.1(c)(1)

| Activity Type | Affordability by Household Incomes | | | | TOTAL UNITS | (4) The Description should adequately document how each unit complies with (c) (7) of Government Code Section 65583.1 | subsection |
|-----------------------------------|------------------------------------|-----------------|------------|---|-------------|---|------------|
| | Extremely Low-Income* | Very Low-Income | Low-Income | | | | |
| (1) Rehabilitation Activity | 0 | 0 | 0 | 0 | 0 | | |
| (2) Preservation of Units At-Risk | 0 | 0 | 0 | 0 | 0 | | |
| (3) Acquisition of Units | 0 | 0 | 0 | 0 | 0 | | |
| (5) Total Units by Income | 0 | 0 | 0 | 0 | 0 | | |

* Note: This field is voluntary

**Table A3
Annual building Activity Report Summary for Above Moderate-Income Units
(not including those units reported on Table A)**

| No. of Units Permitted for Moderate | 1. Single Family | 2. 4 Units | | | 5+ Units | 4. Second Unit | 5. Mobile Homes | 6. Total | 7. Number of infill units* |
|---|------------------|------------|--|--|----------|----------------|-----------------|----------|----------------------------|
| | | 2 - 3. | | | | | | | |
| 184 | 184 | | | | | | 184 | | |
| No. of Units Permitted for Above Moderate | 352 | | | | | | 352 | | |

* Note: This field is voluntary

Breakdown of Permits Issued:

Single Family (Moderate) (184) : West Oaks Sub Unit 4 (24); Avalon/Autumn Crossing (33); Spring Valley Ph 1 Village 1 (70); Spring Valley Phase 1 Village 2 (8); Brighton Sub (11); Whitney Ranch Ph 2 Unit 46E (17); Farron Addition (5); Sunset at Stanford Ranch Sub (1); Old Town/Pine St (1); Parklands North (1); Garnet Creek SF Sub (13).

ANNUAL ELEMENT PROGRESS REPORT *Housing Element Implementation*

(CCR Title 25 §6202)

| | |
|------------------|-------------------------|
| Jurisdiction | City of Rocklin |
| Reporting Period | 01/01/2016 - 12/31/2016 |

Single Family (Above Moderate) (352): Highlands Units 3 & 4 (4); West Oaks Sub Unit 2 (7); West Oaks Sub Unit 4 (43); Stanford Ranch Ph IV Parcel 69 (16); Secret Ravine Estates (1); Rocklin 60 (33); Whitney Ranch Ph 1 Unit 22 (23); Croftwood Ph 1 Unit 3A (22); Whitney Oaks Ph 2C Parcel 32 Unit 22 (1); Whitney Oaks Ph 2B Parcel 31 Unit 21 (1); Yankee Hill Estates #6 (2); Parklands South (1); Whitney Ranch Ph 2B Unit 47AB (27); Spring Valley Ph 1 Village 1 (11); Whitney Ranch Ph 2B Unit 46CD (37); Whitney Ranch Ph 2B Unit 46AB (46); Brighton (17); Avalon/Autumn Crossings (8); Quarry Ridge No. 3 & 4 (1); Whitney Ranch Unit 45A (6); Whitney Ranch Ph 2 Units 41ABC (19); Whitney Ranch Ph 2 Unit 46E (13); Stanford Foothills Parcel 103 (1); Parklands North (3).

ANNUAL ELEMENT PROGRESS REPORT
Housing Element Implementation
(CCR Title 25 §6202)

Jurisdiction City of Rocklin
Reporting Period 01/01/2016 - 12/31/2016

Table B
Regional Housing Needs Allocation Progress
Permitted Units Issued by Affordability

| Income Level | RHNA Allocation by Income Level | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | Total Units to Date (all years) | Total Remaining RHNA by Income Level |
|--------------------------------|---------------------------------|--------|--------|--------|--------|--------|--------|--------|--------|--------|---------------------------------|--------------------------------------|
| | | Year 1 | Year 2 | Year 3 | Year 4 | Year 5 | Year 6 | Year 7 | Year 8 | Year 9 | | |
| Very Low | Deed | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 1,040 |
| | Restricted Non-deed restricted | | | | | | | | | | | |
| Low | Deed | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 729 |
| | Restricted Non-deed restricted | | | | | | | | | | | |
| Moderate | Deed | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | -62 |
| | Restricted Non-deed restricted | 0 | 37 | 385 | 349 | 0 | 0 | 0 | 0 | 0 | 771 | |
| Above Moderate | | 0 | 360 | 312 | 407 | 0 | 0 | 0 | 0 | 0 | 1,079 | 256 |
| Total RHNA by COG | | 0 | | 697 | 756 | 0 | 0 | 0 | 0 | 0 | 1,850 | 1,963 |
| Total Units | | 0 | | 697 | 756 | 0 | 0 | 0 | 0 | 0 | 1,850 | 1,963 |
| Remaining Need for RHNA Period | | | | | | | | | | | | |



ANNUAL ELEMENT PROGRESS REPORT
Housing Element Implementation
(CCR Title 25 §6202)

Jurisdiction City of Rocklin
Reporting Period 01/01/2016 - 12/31/2016

Table C
Program Implementation Status

| Program Description (By Housing Element Program Names) | Name of Program | Objective | Timeframe in H.E. | Status of Program Implementation |
|---|-----------------|---|----------------------|---|
| | | Housing Programs Progress Report - Government Code Section 65583. Describe progress of all programs including local efforts to remove governmental constraints to the maintenance, improvement, and development of housing as identified in the housing element. | | |
| Home Repair Program | | Assist lower income households with rehabilitation loans. | 2013-2021 | In 2016, there were no lower-income households assisted by this program. Information on the program has continued to be advertised on the City's website. |
| Code Enforcement and Abatement | | Implement the Code Enforcement and Abatement Program. | 2013-2021 | In 2016, the City has continued to implement the Code Enforcement and Abatement Program by responding to complaints from the public as well as enabling City staff to drive by homes to determine if there are any violations of property maintenance standards pursuant to Chapter 8.04 of the Municipal Code. |
| Inspection Program | | Implement the Code Enforcement and Abatement Program. Provide information about the Home Repair Program when appropriate. | 2013-2021 | In 2015, the City conducted approximately 8,500 inspections for all building permits associated with single family and multi-family structures according to the City's Building Department. In addition, the City has continued to inspect residential properties, upon request, to identify health and safety hazards, and other building code violations that should be corrected. |
| Zoning Flexibility for Housing Rehabilitation | | Allow non-conforming dwellings to be rehabilitated. | 2013-2021 | In 2016, the City continued to allow non-conforming dwellings to be rehabilitated pursuant to Chapter 17.62 of the Municipal Code so long as the non-conformity is not increased and no threat to public health and safety is identified. |
| Preservation of Existing Affordable Housing Stock | | Work with owners of all at-risk projects, interested groups, and the State and federal governments to implement measures on an ongoing basis to conserve affordable housing stock. | 2013-2021 | In 2016, the City contacted the management entities of three different projects (Lyn-Roc, Rolling Oaks, and Quarry Oaks) that appeared to have subsidies nearing expiration. Staff was assured by all parties that they were in the process of renewing their Section 8 contracts. At-risk projects will continue to be monitored on an ongoing basis and actions will be taken as the needs, opportunities and funding availability allow. |

ANNUAL ELEMENT PROGRESS REPORT *Housing Element Implementation*

(CCR Title 25 §6202)

| Jurisdiction | City of Rocklin | Reporting Period | 01/01/2016 - 12/31/2016 | Housing Programs Progress Report - Government Code Section 65583. Describe progress of all programs including local efforts to remove governmental constraints to the maintenance, improvement, and development of housing as identified in the housing element. | | |
|---|-----------------|---|-------------------------|---|--|--|
| Program Description (By Housing Element Program Names) | Name of Program | Objective | Timeframe in H.E. | Status of Program Implementation | | |
| Housing Choice Voucher Program | | Support the assistance of households with vouchers. | 2013-2021 | In 2016, the City continued to participate in the Housing Choice Voucher Program (Section 8) administered by the Roseville Housing Authority to provide rental assistance to very/low income households in Rocklin. Approximately 123 vouchers per month are located in Rocklin. The number of vouchers used in Rocklin in 2016 was up slightly from the approximately 110 per month that were issued in 2015. | | |
| Affordable Housing Development | | To increase the supply of affordable housing. | 2013-2021 | In 2016, the City of Rocklin worked with two specific developers who are currently processing planning entitlements for new apartment projects to be developed in the City. It is estimated that combined these projects would provide 200+ units with rental prices that serve both low and some very low income households. A rezone for one of the projects was approved in December 2016. Both projects are currently processing applications for Design Review approval. | | |
| Second Unit Development | | Facilitate development of second units. Advertise second dwelling units through preparation and distribution of a brochure and placing information on the City's website. | 2013-2021 | The Rocklin Municipal Code is on the City's website and regulations regarding second units are included in Title 17 (Zoning Ordinance). There were no second unit permits issued in 2016. | | |
| Affordable Housing Funding Sources | | Pursue State, federal, and other funding sources for affordable housing activities. | 2013-2021 | In 2016, the City did not provide any funding to preserve affordable units. However, the City has continued to apply for HUD Community Development Block Grant (CDBG) Entitlement Funds which can be used for housing activities. Senior's First received a portion of the City's CDBG funds to assist seniors with minor home repairs through its Handyman Program. Information about HCD, CHFA, HUD and other affordable housing programs is collected on a regular basis and shared with developers. | | |
| Zoning Flexibility | | Assist housing projects with the BARRO Zone Program | 2013-2021 | In 2016, there were no housing related BARRO Zone Program projects. However, staff received multiple inquiries regarding opportunities to develop residential units in the zone and will continue to provide guidance and assistance to prospective applicants. | | |

ANNUAL ELEMENT PROGRESS REPORT Housing Element Implementation

(CCR Title 25 §6202)

Jurisdiction City of Rocklin
Reporting Period 01/01/2016 - 12/31/2016

| Program Description (By Housing Element Program Names) | | Housing Programs Progress Report - Government Code Section 65583. as identified in the housing element. | | |
|---|---|--|--|--|
| Name of Program | Objective | Timeframe in H.E. | Status of Program Implementation | |
| Inventory of Sites for Housing | Provide adequate sites to meet the City's share of regional housing needs. | 2013-2021 | The City has a list of available sites identified in its certified Housing Element. In 2014 all necessary General Plan land use changes were completed to apply the residential land use designations/densities identified in the City's Housing Element Available Sites table. A Zoning Ordinance amendment was also approved concurrently that established interim review procedures to facilitate and encourage residential development on those sites and at those densities during the interim period until project specific zoning is applied. | |
| Density Increase Incentive Program | Offer density bonuses and other regulatory incentives to developers of affordable housing. | 2013-2021 | The City has removed the density cap on its general plan High Density Residential Land Use Category to facilitate opportunities for affordable housing development. The City will also continue to apply incentives for affordable housing in accordance with state law. | |
| Large Sites for Housing for Lower Income Households | Develop an Incentive Program to assist the development of housing for lower income households on larger sites. | 2013-2021 | The City is evaluating options for an Incentive Program, however, in the interim is open to working with property owners and affordable housing developers to explore potential incentives on a case by case basis. The City also routinely provides information (maps, aeriats, etc.) regarding both large and small sites that are potentially available to all multifamily developers that approach the City looking for development opportunities. | |
| Housing for Persons with Disabilities | Facilitate the development, maintenance and improvement of housing for persons with disabilities. | 2013-2021 | In 2016, the City has continued to provide information about its reasonable accommodation procedures. | |
| Regional Partnership for Affordable Housing | Continue to expand affordable housing opportunities and to meet the housing and supportive service needs of the homeless. | 2013-2021 | The City has maintained its relationship with Placer Consortium on Homelessness (PCOH) and Placer Collaborative Network (PCN) which conducts regular counts of the local homeless population in Placer County. Community Development Block Grant (CDBG) funds were allocated to the Gathering Inn in 2016 to assist the homeless in Rocklin with shelter, social, health and case management services. Rocklin City staff along with other regional stakeholders have actively participated in a series of meetings since 2015 led by Placer County Health and Human Services and the Homeless Resource Council of the Sierras analyzing the need for a 24/7 Shelter in South Placer County as well as other permanent housing solutions for the homeless. These meetings are expected to continue and result in better quantification of actual shelter needs, current gaps in services and ultimately specific options and strategies to address issues related to homelessness. | |
| Fair Housing Program | Continue to promote fair housing practices. | 2013-2021 | The City has continued to provide information about fair housing services to City residents on the City's website which include referrals to various local organizations specializing in housing rights. | |
| Developmentally Disabled Program | Implement an outreach program regarding housing and services available for persons with developmental disabilities. | 2013-2021 | The City has provided necessary information on a request basis. An outreach program will be prepared within the 2013-2021 Housing Element timeframe. | |

ANNUAL ELEMENT PROGRESS REPORT
Housing Element Implementation

(CCR Title 25 §6202)

Jurisdiction City of Rocklin
Reporting Period 01/01/2016 - 12/31/2016

General Comments:

Please Note - The SB341 Successor Housing Agency Annual Report is attached to the hard copy of the report which is sent to HCD and OPR.

**HOUSING SUCCESSOR ANNUAL REPORT
LOW AND MODERATE INCOME HOUSING ASSET FUND
FOR FISCAL YEAR 2015/16
PURSUANT TO
CALIFORNIA HEALTH AND SAFETY CODE SECTION 34176.1(f)
FOR THE
HOUSING AUTHORITY OF THE CITY OF ROCKLIN**



ROCKLIN
CALIFORNIA

March 14th, 2017

**HOUSING SUCCESSOR ANNUAL REPORT
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LOW AND MODERATE INCOME HOUSING ASSET FUND FOR FY 2015/161

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INTRODUCTION

This Housing Successor Annual Report (Report) regarding the Low and Moderate Income Housing Asset Fund (LMIHAF) has been prepared pursuant to California Health and Safety Code Section 34176.1 (f). This Report sets forth certain details of the Housing Authority of the City of Riverside (Housing Successor) activities during Fiscal Year 2015/2016. The purpose of this Report is to provide the governing body of the Housing Successor an annual report on the housing assets and activities of the Housing Successor under Part 1.85, Division 24 of the California Health and Safety Code, in particular Sections 34176 and 34176.1 (Dissolution Law).

The following Report is based upon information prepared by Housing Successor staff. Information contained within the independent financial audit of the Low and Moderate Income Housing Asset Fund for Fiscal Year 2015/2016 was prepared by Chavan & Associates, LLP, and is separate from this annual summary Report; further, this Report conforms with and is organized into sections I. through XIII., inclusive, pursuant to Section 34176.1(f) of the Dissolution Law.

I. AMOUNT RECEIVED BY THE CITY

This section provides the total amount of funds received by the city pursuant to subparagraph (A) of paragraph (3) of subdivision (b) of Section 34191.4 stating that loan or deferral repayments made pursuant to this section are second in priority to those owed to the LMIHAF.

The total received by the City during Fiscal Year 2015/2016 under this section is \$0.

II. AMOUNT DEPOSITED INTO LMIHAF

This section provides the total amount of funds deposited into the LMIHAF during the Fiscal Year. Any amounts deposited for items listed on the Recognized Obligation Payment Schedule (ROPS) must be distinguished from the other amounts deposited.

A total of \$550,767 was deposited into the LMIHAF during the Fiscal Year. Of the total funds deposited into the LMIHAF, a total of \$224,933 was for Supplemental Educational Revenue Augmentation Fund (SERAF) loan repayment listed on the ROPS.

III. ENDING BALANCE OF LMIHAF

This section provides a statement of the balance in the LMIHAF as of the close of the Fiscal Year. Any amounts held for items listed on the ROPS must be distinguished from the other amounts.

At the close of the Fiscal Year, the ending fund balance in the LMIHAF was \$11,944,706, of which \$9,731,854 is Long-term receivables, and \$675,067 is an advance for SERAF listed on the ROPS.

IV. DESCRIPTION OF EXPENDITURES FROM LMIHAF:

This section provides a description of the expenditures made from the LMIHAF during the Fiscal Year. The expenditures are to be categorized.

The following is a description of expenditures from the LMIHAF by category:

| FY 2015/16 LMIHAF Expenditures | |
|---|----------------|
| Activity | Amount |
| Maintenance, Monitoring & Administration Expenditures | \$0 |
| Write off of uncollectible loan balances | \$9,001 |
| • Total LMIHAF Expenditures | \$9,001 |

V. STATUTORY VALUE OF ASSETS OWNED BY HOUSING SUCCESSOR IN LMIHAF

This section provides the statutory value of real property owned by the Housing Successor, the value of loans and grants receivables, and the sum of these two amounts.

Under the Dissolution Law and for purposes of this Report, the "statutory value of real property" means the value of properties formerly held by the former redevelopment agency as listed on the housing asset transfer schedule approved by the Department of Finance as listed in such schedule under Section 34176(a)(2), the value of the properties transferred to the Housing Successor pursuant to Section 34181(f), and the purchase price of property(ies) purchased by the Housing Successor. Further, the value of loans and grants receivable is included in these reports assets held in the LMIHAF.

The following provides the statutory value of assets owned by the Housing Successor.

| | As of End Fiscal Year |
|--|------------------------------|
| Statutory Value of Real Property Owned by Housing Successor | \$ 0 |
| Value of Loans and Grants Receivable | \$ 9,731,854 |
| Value of Advances to Fiduciary Activities (SERAF) | \$ 675,067 |
| Total Value of Housing Successor Assets | \$ 10,406,921 |

VI. DESCRIPTION OF TRANSFERS

This section describes transfers, if any, to another housing successor agency made in previous Fiscal Year(s), including whether the funds are unencumbered and the status of projects; if any, for which the transferred LMIHAF will be used. The sole purpose of the transfers must be for the development of transit priority projects, permanent supportive housing, housing for agricultural employees or special needs housing.

The Housing Successor did not make any LMIHAF transfers to other Housing Successor(s) under Section 34176.1(c)(2).

VII. PROJECT DESCRIPTIONS

This section describes any project for which the Housing Successor receives or holds property tax revenue pursuant to the ROPS and the status of the project.

The Housing Successor does not receive or hold property tax revenue pursuant to the ROPS.

VIII. STATUS OF COMPLIANCE WITH SECTION 33334.16

This section provides a status update on compliance with Section 33334.16 for interests in real property acquired by the former redevelopment agency prior to February 1, 2012. For interests in real property acquired on or after February 1, 2012, provide a status update on the project.

The Housing Successor does not have interests in real property acquired prior to or on or after February 1, 2012.

IX. DESCRIPTION OF OUTSTANDING OBLIGATIONS UNDER SECTION 33413

This section describes any outstanding inclusionary and replacement housing obligations, if any, under Section 33413 that remained outstanding prior to dissolution of the former redevelopment agency as of February 1, 2012 along with the Housing Successor's progress in meeting those prior obligations, if any, of the former redevelopment agency and how the Housing Successor's plan to meet unmet obligations, if any.

The Housing Successor does not have any obligations to fulfill under Section 33413.

X. INCOME TEST

This section provides the information required by Section 34176.1(a)(3)(B), or a description of expenditures by income restriction for five year period, with the time period, with the time period beginning January 1, 2014 and whether the statutory thresholds have been met.

Reporting of the Income Test is not required until 2019.

XI. SENIOR HOUSING TEST

This section provides the percentage of units of deed-restricted rental housing restricted to seniors and assisted individually or jointly by the Housing Successor, its former redevelopment Agency, and its host jurisdiction within the previous 10 years in relation to the aggregate number of units of deed-restricted rental housing assisted individually or jointly by the Housing Successor, its former Redevelopment Agency and its host jurisdiction within the same time period. For this Report the ten-year period reviewed is January 1, 2005 to January 1, 2015.

| Rental Senior Restricted Units | Non-Senior Units Created | Senior Units Created | Description |
|--|---------------------------------|-----------------------------|--|
| Units Created This Reporting Year (FY 2014-15) | 0 | 0 | |
| Units Created This Reporting Year (FY 2013-14) | 0 | 0 | |
| Units Created 1 Reporting Year Ago (FY 2012-13) | 0 | 0 | |
| Units Created 2 Reporting Years Ago (FY 2011-12) | 0 | 0 | |
| Units Created 3 Reporting Years Ago (FY 2010-11) | | 0 | |
| Units Created 4 Reporting Years Ago (FY 2009-10) | 94 | 0 | Placer West Apts. (44) Shannon Bay Apts. (50) |
| Units Created 5 Reporting Years Ago (FY 2008-09) | 260 | 0 | Sunset Apts. (104) Whitney Ranch Apts. (156) |
| Units Created 6 Reporting Years Ago (FY 2007-2008) | 32 | 0 | College Manor Apts. |
| Units Created 7 Reporting Years Ago (FY 2006-2007) | 0 | 0 | |
| Units Created 8 Reporting Years Ago (FY 2005-2006) | 0 | 0 | |
| 10-Year Total | 386 | 0 | |
| | | | |
| 10-Year Total Non-Senior & Senior Units Created | 386 | | |
| % of Senior Units Over 10 years Under Limit (Yes/No) | 0% | | |
| | Yes | | |

XII. EXCESS SURPLUS TEST

The Housing Successor presently retains a computed excess surplus pursuant to Health and Safety Code Section 34176.1. For the purpose of this report, pursuant to Section 34176.1(d), “excess surplus shall mean an unencumbered amount that exceeds the greater of one million dollars (\$1,000,000) or the aggregate amount deposited in to the account during the housing successor’s preceding four fiscal years, whichever is greater.” If the unencumbered amount does not exceed the greater of the aggregate amount deposited into the LMIHAF during the preceding four fiscal years or \$1,000,000, whichever is greater, there is no excess surplus.

The Excess Surplus calculation relates to a four-year calculation. This period ended June 30, 2016 is the first year balances are greater than one million dollars. Therefore, the determination is that during this period, the Housing Successor is in its first year of excess surplus. The Housing Successor intends to eliminate the excess surplus by encumbering the funds for permitted expenditures pursuant to Health and Safety Code Section 34176.1.

THE CITY OF ROCKLIN HOUSING AUTHORITY
 (a component unit of the City of Rocklin, California)
 CALCULATION OF EXCESS SURPLUS IN THE LOW/MOD INCOME HOUSING ASSET FUND
 June 30, 2016 (in thousands)

| | | |
|---|---------|--------------------|
| Fund Balance, June 30, 2016 | | \$11,945 |
| Less Unavailable Amounts: | | |
| Rehabilitation loans | \$9,732 | |
| Advances to Other Funds for SERAF | 675 | |
| Unspent debt proceeds | _____ | (10,407) |
| Available Low and Moderate Income Housing Funds | | \$1,538 |
| Limitation (greater of \$1,000 or four years LMIHF deposits) | | |
| LMIHF Deposits for preceding four years | | |
| 2012 | \$439 | |
| 2012-2013 | 232 | |
| 2013-2014 | 57 | |
| 2014-2015 | 425 | |
| Total four years LMIHF deposits (limitation) | _____ | (1,153) |
| Computed Excess Surplus, June 30, 2016 | | \$385 |

XIII. HOMEOWNERSHIP UNITS IN HOUSING SUCCESSOR LOAN PORTFOLIO 2015-2016

An inventory of homeownership units assisted by the former redevelopment agency or the housing successor that are subject to covenants or restrictions or to an adopted program that protects the former redevelopment agency's investment monies from the Low and Moderate Income Housing Fund pursuant to subdivision (f) of Section 33334.3.

| PROPERTY ADDRESS | CITY, ZIP CODE | ASSESSOR'S PARCEL NUMBER | TOTAL SUBSIDIZED UNIT | YEAR LAUNCHED | COVENANT DOCUMENT NUMBER | COVENANT DOCUMENT DATE | RESTRICTIONS EXPIRATION | NOTES |
|--------------------------------|----------------|--------------------------|-----------------------|---------------|--------------------------|------------------------|-------------------------|--|
| Single Family Housing | | | | | | | | |
| 4306 Jamerson St | Rocklin, 95677 | 045-180-869 | 3 | 2002 | 2003-0049145 | 03/31/2003 | 03/31/2013 | unit lost due to expiration of restricti |
| 2765 S. Whitney Blvd. | Rocklin, 95677 | 016-240-007 | 4 | 1965 | 2003-0209280 | 12/19/2003 | 12/19/2013 | unit lost due to expiration of restricti |
| 5115 Grove St. | Rocklin, 95677 | 010-181-032 | 3 | 1937 | 2004-0045423 | 04/15/2004 | 04/15/2014 | unit sold; loan repaid |
| 3010 Springview Meadows | Rocklin, 95677 | 016-490-005 | 4 | 1988 | 2004-0044273 | 04/14/2004 | 04/14/2014 | unit lost due to expiration of restricti |
| 5800 Sequoia Court | Rocklin, 95677 | 046-261-032 | 2 | 1980 | 2004-0097248 | 07/23/2004 | 07/23/2014 | unit lost due to expiration of restricti |
| 2604 Zephyr Cove | Rocklin, 95677 | 371-160-070 | 1 | 1989 | 2007-0046805 | 05/09/2007 | 05/09/2017 | unit sold; covenants remain |
| 2306 Zephyr Cove | Rocklin, 95677 | 371-160-021 | 1 | 2000 | 2007-0074786 | 07/27/2007 | 07/27/2017 | |
| 5680 Avila Court | Rocklin, 95677 | 016-200-005 | 2 | 1973 | 2007-0100765 | 10/22/2007 | 10/22/2017 | Interst paid off. Unit sold. DPA / HRA |
| 6117 Lonetree Blvd. | Rocklin, 95785 | 365-290-015 | 4 | 2007 | 2007-0107000 | 11/20/2007 | 11/20/2017 | \$10K Interst pymt made (2016) DPA |
| 6145 Lonetree Blvd. | Rocklin, 95785 | 365-290-029 | 3 | 2007 | 2007-0120873 | 12/26/2007 | 12/26/2017 | |
| 3589 Nevada Street | Rocklin, 95677 | 010-280-018 | 3 | 2004 | 2004-00116648 | 09/01/2004 | 09/01/2019 | |
| 3591 Nevada Street | Rocklin, 95677 | 010-280-017 | 3 | 2004 | 2004-0101845 | 08/03/2004 | 08/03/2019 | |
| 5600 Daffodil Circle | Rocklin, 95677 | 045-540-037 | 3 | 2005 | 2006-0006011 | 01/20/2006 | 01/20/2036 | |
| 5604 Daffodil Circle | Rocklin, 95677 | 045-540-035 | 3 | 2005 | 2006-0006015 | 01/20/2006 | 01/20/2036 | |
| 5606 Daffodil Circle | Rocklin, 95677 | 045-540-034 | 3 | 2005 | 2006-0006916 | 01/23/2006 | 01/23/2036 | |
| 5608 Daffodil Circle | Rocklin, 95677 | 045-540-033 | 3 | 2005 | 2006-0007146 | 01/24/2006 | 01/24/2036 | |
| 5602 Daffodil Circle | Rocklin, 95677 | 045-540-036 | 3 | 2005 | 2006-0006919 | 01/23/2006 | 01/23/2036 | |
| 4114 Oak Court | Rocklin, 95677 | 010-181-024 | 3 | 2003 | 2004-0004025 | 01/14/2004 | 01/14/2049 | |
| 4110 Oak Court | Rocklin, 95677 | 010-181-026 | 4 | 2003 | 2004-0003740 | 01/14/2004 | 12/24/2049 | |
| 4108 Oak Court | Rocklin, 95677 | 010-181-027 | 3 | 2003 | 2004-0007219 | 01/22/2004 | 01/22/2049 | |
| 4112 Oak Court | Rocklin, 95677 | 010-181-023 | 4 | 2003 | 2004-0008780 | 01/27/2004 | 01/27/2049 | |
| 4106 Oak Court | Rocklin, 95677 | 010-181-028 | 3 | 2003 | 2004-0008790 | 01/27/2004 | 01/27/2049 | |
| 4104 Oak Court | Rocklin, 95677 | 010-181-029 | 3 | 2003 | 2004-0008772 | 01/27/2004 | 01/27/2049 | |
| 4102 Oak Court | Rocklin, 95677 | 010-181-030 | 4 | 2003 | 2004-0008763 | 01/27/2004 | 01/27/2049 | |
| 4116 Oak Court | Rocklin, 95677 | 010-181-025 | 3 | 2003 | 2004-0002053 | 01/08/2004 | 01/08/2049 | |
| Condominiums | | | | | | | | |
| 1250 Whitney Ranch Pkwy, #215 | Rocklin, 95785 | 489-240-006 | 2 | 2006 | 2007-0054404 | 05/30/2007 | 05/30/2037 | |
| 1250 Whitney Ranch Pkwy, #232 | Rocklin, 95785 | 489-240-018 | 2 | 2006 | 2007-0054410 | 05/30/2007 | 05/30/2037 | |
| 1250 Whitney Ranch Pkwy, #238 | Rocklin, 95785 | 489-240-024 | 3 | 2006 | 2007-0055118 | 05/31/2007 | 05/31/2037 | |
| 1250 Whitney Ranch Pkwy, #237 | Rocklin, 95785 | 489-240-023 | 2 | 2006 | 2007-0055100 | 05/31/2007 | 05/31/2037 | |
| 1250 Whitney Ranch Pkwy, #231 | Rocklin, 95785 | 489-240-017 | 3 | 2006 | 2007-0064359 | 06/27/2007 | 06/27/2037 | |
| 1250 Whitney Ranch Pkwy, #423 | Rocklin, 95785 | 489-240-059 | 3 | 2006 | 2007-0065591 | 06/29/2007 | 06/29/2037 | |
| 1230 Whitney Ranch Pkwy, #432 | Rocklin, 95785 | 489-240-066 | 2 | 2006 | 2007-0065598 | 06/29/2007 | 06/29/2037 | |
| 1230 Whitney Ranch Pkwy, #414 | Rocklin, 95785 | 489-240-052 | 2 | 2006 | 2007-0065633 | 06/29/2007 | 06/29/2037 | Unit lost 10+ day mktng; DPA + Interst pai |
| 1230 Whitney Ranch Pkwy, #412 | Rocklin, 95785 | 489-240-050 | 2 | 2006 | 2007-0066790 | 07/03/2007 | 07/03/2037 | |
| 1250 Whitney Ranch Pkwy, #411 | Rocklin, 95785 | 489-240-049 | 3 | 2006 | 2007-0066783 | 07/03/2007 | 07/03/2037 | |
| 1230 Whitney Ranch Pkwy, #431 | Rocklin, 95785 | 489-240-065 | 2 | 2006 | 2007-0069680 | 07/10/2007 | 07/10/2037 | |
| 1240 Whitney Ranch Pkwy, #315 | Rocklin, 95785 | 489-240-029 | 2 | 2006 | 2007-0074752 | 07/27/2007 | 07/27/2037 | |
| 1240 Whitney Ranch Pkwy, #334 | Rocklin, 95785 | 489-240-044 | 2 | 2006 | 2007-0076262 | 07/30/2007 | 07/30/2037 | |
| 1240 Whitney Ranch Pkwy, #337 | Rocklin, 95785 | 489-240-047 | 2 | 2006 | 2007-0076040 | 07/31/2007 | 07/31/2037 | |
| 1240 Whitney Ranch Pkwy, #335 | Rocklin, 95785 | 489-240-045 | 2 | 2006 | 2007-0081779 | 08/17/2007 | 08/17/2037 | |
| 1251 Whitney Ranch Pkwy, #1231 | Rocklin, 95785 | 489-270-041 | 3 | 2006 | 2007-0087937 | 09/07/2007 | 09/07/2037 | |
| 1221 Whitney Ranch Pkwy, #1132 | Rocklin, 95785 | 489-270-018 | 2 | 2006 | 2007-0089177 | 09/12/2007 | 09/12/2037 | |

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|-----------------------------------|----------------|--------------------------|-----------------------|------------------|--------------------------|------------------------|-------------------------|---|
| Condominiums (con't) | | | | | | | | |
| 1230 Whitney Ranch Pkwy, #434 | Rocklin, 95765 | 489-240-068 | 2 | 2006 | 2007-0082653 | 09/24/2007 | 09/24/2037 | |
| 1230 Whitney Ranch Pkwy, #436 | Rocklin, 95765 | 489-240-070 | 3 | 2006 | 2007-0089729 | 10/15/2007 | 10/15/2037 | |
| 1230 Whitney Ranch Pkwy, #425 | Rocklin, 95765 | 489-240-061 | 2 | 2006 | 2007-0100347 | 10/19/2007 | 10/19/2037 | |
| 1210 Whitney Ranch Pkwy, #528 | Rocklin, 95765 | 489-250-016 | 3 | 2006 | 2007-102818 | 10/29/2007 | 10/29/2037 | |
| 1251 Whitney Ranch Pkwy, #1228 | Rocklin, 95765 | 489-270-040 | 3 | 2006 | 2007-0109127 | 11/15/2007 | 11/15/2037 | |
| 1221 Whitney Ranch Parkway, #1114 | Rocklin, 95765 | 489-270-004 | 2 | 2006 | 2007-0110727 | 11/20/2007 | 11/20/2037 | |
| 1211 Whitney Ranch Pkwy, #1014 | Rocklin, 95765 | 489-260-062 | 2 | 2006 | 2007-0110933 | 11/21/2007 | 11/21/2037 | |
| 1251 Whitney Ranch Pkwy, #1235 | Rocklin, 95765 | 489-270-045 | 2 | 2006 | 2007-0111415 | 11/26/2007 | 11/26/2037 | unit sold; loan repaid |
| 1210 Whitney Ranch Pkwy, #517 | Rocklin, 95765 | 489-250-097 | 2 | 2006 | 2007-0111962 | 11/27/2007 | 11/27/2037 | unit sold; loan repaid |
| 1210 Whitney Ranch Pkwy, #518 | Rocklin, 95765 | 489-250-098 | 3 | 2006 | 2007-0111972 | 11/27/2007 | 11/27/2037 | foreclosure |
| 1221 Whitney Ranch Pkwy, #1116 | Rocklin, 95765 | 489-270-006 | 3 | 2006 | 2007-0112790 | 11/29/2007 | 11/29/2037 | |
| 1230 Whitney Ranch Pkwy, #438 | Rocklin, 95765 | 489-240-072 | 3 | 2006 | 2007-0113403 | 11/30/2007 | 11/30/2037 | |
| 1251 Whitney Ranch Pkwy, #1238 | Rocklin, 95765 | 489-270-048 | 3 | 2006 | 2007-0113475 | 12/03/2007 | 12/03/2037 | unit sold; covenants remain |
| 1211 Whitney Ranch Pkwy, #1034 | Rocklin, 95765 | 489-260-068 | 2 | 2006 | 2007-0117183 | 12/13/2007 | 12/13/2037 | |
| 1251 Whitney Ranch Pkwy, #1223 | Rocklin, 95765 | 489-270-035 | 3 | 2006 | 2007-0120004 | 12/21/2007 | 12/21/2037 | |
| 1181 Whitney Ranch Pkwy, #734 | Rocklin, 95765 | 489-250-068 | 2 | 2006 | 2007-0120812 | 12/26/2007 | 12/26/2037 | |
| 1251 Whitney Ranch Pkwy, #1333 | Rocklin, 95765 | 489-240-043 | 3 | 2006 | 2007-0121444 | 12/27/2007 | 12/27/2037 | |
| 1211 Whitney Ranch Pkwy, #1033 | Rocklin, 95765 | 489-260-067 | 3 | 2006 | 2007-0121450 | 12/27/2007 | 12/27/2037 | |
| 1240 Whitney Ranch Pkwy, #326 | Rocklin, 95765 | 489-240-040 | 3 | 2006 | 2008-0065304 | 01/27/2008 | 01/27/2038 | Unit last 50-day mortgage DPA + interest paid |
| 1251 Whitney Ranch Pkwy, #1214 | Rocklin, 95765 | 489-270-028 | 2 | 2006 | 2008-0066770 | 01/31/2008 | 01/31/2038 | |
| 1240 Whitney Ranch Pkwy, #318 | Rocklin, 95765 | 489-240-032 | 3 | 2006 | 2008-240-032 | 02/11/2008 | 02/11/2038 | |
| 1210 Whitney Ranch Pkwy, #536 | Rocklin, 95765 | 489-250-022 | 2 | 2006 | 2008-0010379 | 02/13/2008 | 02/13/2038 | |
| 1201 Whitney Ranch Pkwy, #917 | Rocklin, 95765 | 489-260-028 | 2 | 2006 | 2008-0046796 | 06/05/2008 | 06/05/2038 | |
| 1251 Whitney Ranch Pkwy, #1210 | Rocklin, 95765 | 489-270-027 | 3 | 2006 | 2008-0046493 | 06/06/2008 | 06/06/2038 | unit sold; covenants remain |
| 1231 Whitney Ranch Pkwy, #1034 | Rocklin, 95765 | 489-270-047 | 2 | 2006 | 2008-0048182 | 06/13/2008 | 06/13/2038 | |
| 1191 Whitney Ranch Pkwy, #635 | Rocklin, 95765 | 489-260-021 | 2 | 2006 | 2008-0048532 | 06/13/2008 | 06/13/2038 | unit sold; covenants remain |
| 1201 Whitney Ranch Pkwy, #913 | Rocklin, 95765 | 489-260-027 | 3 | 2006 | 2008-0048201 | 06/13/2008 | 06/13/2038 | |
| 1181 Whitney Ranch Pkwy, #737 | Rocklin, 95765 | 489-250-071 | 2 | 2006 | 2008-0053275 | 06/20/2008 | 06/20/2038 | |
| 1201 Whitney Ranch Pkwy, #911 | Rocklin, 95765 | 489-260-025 | 3 | 2006 | 2008-0052785 | 06/27/2008 | 06/27/2038 | |

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|--------------------------------|----------------|--------------------------|-----------------------|------------------|--------------------------|------------------------|-------------------------|---|
| Condominiums (con't) | | | | | | | | |
| 1200 Whitney Ranch Pkwy, #635 | Rocklin, 95765 | 489-250-045 | 2 | 2006 | 2008-0052779 | 06/27/2008 | 06/27/2038 | |
| 1191 Whitney Ranch Pkwy, #632 | Rocklin, 95765 | 489-260-018 | 2 | 2006 | 2008-0053283 | 06/30/2008 | 06/30/2038 | |
| 1181 Whitney Ranch Pkwy, #724 | Rocklin, 95765 | 489-250-060 | 2 | 2006 | 2008-0054194 | 07/02/2008 | 07/02/2038 | unit sold; loan repaid - foreclosure |
| 1181 Whitney Ranch Pkwy, #717 | Rocklin, 95765 | 489-260-055 | 2 | 2006 | 2008-0054411 | 07/03/2008 | 07/03/2038 | |
| 1221 Whitney Ranch Pkwy, #1117 | Rocklin, 95765 | 489-270-007 | 2 | 2006 | 2008-0059495 | 07/22/2008 | 07/22/2038 | |
| 1221 Whitney Ranch Pkwy, #1133 | Rocklin, 95765 | 489-270-003 | 3 | 2006 | 2008-0061979 | 07/30/2008 | 07/30/2038 | unit sold; loan repaid |
| 1210 Whitney Ranch Pkwy, #1012 | Rocklin, 95765 | 489-260-023 | 2 | 2006 | 2008-0061943 | 07/30/2008 | 07/30/2038 | |
| 1210 Whitney Ranch Pkwy, #535 | Rocklin, 95765 | 489-250-021 | 2 | 2006 | 2008-0061986 | 07/30/2008 | 07/30/2038 | |
| 1210 Whitney Ranch Pkwy, #514 | Rocklin, 95765 | 489-250-004 | 2 | 2006 | 2008-0061970 | 07/30/2008 | 07/30/2038 | |
| 1181 Whitney Ranch Pkwy, #713 | Rocklin, 95765 | 489-260-061 | 3 | 2006 | 2008-0064144 | 08/08/2008 | 08/08/2038 | |
| 1191 Whitney Ranch Pkwy, #616 | Rocklin, 95765 | 489-260-005 | 3 | 2006 | 2008-0064474 | 08/08/2008 | 08/08/2038 | |
| 1210 Whitney Ranch Pkwy, #539 | Rocklin, 95765 | 489-250-024 | 3 | 2006 | 2008-0066431 | 08/15/2008 | 08/15/2038 | |
| 1221 Whitney Ranch Pkwy, #1112 | Rocklin, 95765 | 489-270-002 | 2 | 2006 | 2008-0069779 | 08/28/2008 | 08/28/2038 | |
| 1191 Whitney Ranch Pkwy, #613 | Rocklin, 95765 | 489-260-002 | 3 | 2006 | 2008-0069787 | 08/28/2008 | 08/28/2038 | |
| 1240 Whitney Ranch Pkwy, #332 | Rocklin, 95765 | 489-240-042 | 2 | 2006 | 2008-0070243 | 08/29/2008 | 08/29/2038 | |
| 1200 Whitney Ranch Pkwy, #613 | Rocklin, 95765 | 489-250-027 | 3 | 2006 | 2008-0070259 | 08/29/2008 | 08/29/2038 | Unit last 50-day mortgage DPA + interest paid |
| 1181 Whitney Ranch Pkwy, #732 | Rocklin, 95765 | 489-260-066 | 2 | 2006 | 2008-0070260 | 08/29/2008 | 08/29/2038 | |
| 1201 Whitney Ranch Pkwy, #912 | Rocklin, 95765 | 489-260-026 | 2 | 2006 | 2008-0070315 | 08/29/2008 | 08/29/2038 | Loan Paid Off - Assigned/Assumed |
| 1191 Whitney Ranch Pkwy, #636 | Rocklin, 95765 | 489-260-022 | 3 | 2006 | 2008-0070354 | 08/29/2008 | 08/29/2038 | |
| 1200 Whitney Ranch Pkwy, #618 | Rocklin, 95765 | 489-260-032 | 3 | 2006 | 2008-0070308 | 08/29/2008 | 08/29/2038 | |
| 1181 Whitney Ranch Pkwy, #735 | Rocklin, 95765 | 489-250-069 | 2 | 2006 | 2008-0073667 | 09/15/2008 | 09/15/2038 | |
| 1240 Whitney Ranch Pkwy, #312 | Rocklin, 95765 | 489-240-026 | 2 | 2006 | 2008-0074484 | 09/19/2008 | 09/19/2038 | unit sold; covenants remain |
| 1211 Whitney Ranch Pkwy, #1012 | Rocklin, 95765 | 489-260-006 | 2 | 2006 | 2008-0074581 | 09/19/2008 | 09/19/2038 | Unit last; DPA + interest paid off; Rescued |
| 1181 Whitney Ranch Pkwy, #711 | Rocklin, 95765 | 489-250-049 | 3 | 2006 | 2008-0075958 | 09/24/2008 | 09/24/2038 | |
| 1221 Whitney Ranch Pkwy, #1139 | Rocklin, 95765 | 489-270-024 | 3 | 2006 | 2008-0076294 | 09/25/2008 | 09/25/2038 | |
| 1191 Whitney Ranch Pkwy, #611 | Rocklin, 95765 | 489-260-001 | 3 | 2006 | 2008-0076300 | 09/26/2008 | 09/26/2038 | |
| 1221 Whitney Ranch Pkwy, #1134 | Rocklin, 95765 | 489-270-020 | 2 | 2006 | 2008-0076358 | 09/26/2008 | 09/26/2038 | |
| 1191 Whitney Ranch Pkwy, #634 | Rocklin, 95765 | 489-260-020 | 2 | 2006 | 2008-0077103 | 09/29/2008 | 09/29/2038 | |

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|-----------------------------------|----------------|--------------------------|-----------------------|------------------|--------------------------|------------------------|-------------------------|--|
| Condominiums (con't) | | | | | | | | |
| 1181 Whitney Ranch Pkwy, #733 | Rocklin, 95765 | 489-250-067 | 3 | 2006 | 2008-0077096 | 09/29/2008 | 09/29/2038 | |
| 1211 Whitney Ranch Pkwy, #1038 | Rocklin, 95765 | 489-260-072 | 3 | 2006 | 2008-0073885 | 10/09/2008 | 10/09/2038 | |
| 1201 Whitney Ranch Pkwy, #918 | Rocklin, 95765 | 489-260-032 | 3 | 2006 | 2008-0080983 | 10/15/2008 | 10/15/2038 | |
| 1211 Whitney Ranch Pkwy, #1016 | Rocklin, 95765 | 489-260-054 | 3 | 2006 | 2008-0081817 | 10/17/2008 | 10/17/2038 | |
| 1211 Whitney Ranch Pkwy, #1018 | Rocklin, 95765 | 489-260-056 | 3 | 2006 | 2008-0083716 | 10/27/2008 | 10/27/2038 | |
| 1211 Whitney Ranch Pkwy, #1011 | Rocklin, 95765 | 489-260-049 | 3 | 2006 | 2008-0080160 | 10/10/2008 | 10/10/2038 | DPA + Interest Paid Off; DPA only recovery |
| 1181 Whitney Ranch Pkwy, #823 | Rocklin, 95765 | 489-260-011 | 3 | 2006 | 2008-0085291 | 10/31/2008 | 10/31/2038 | |
| 1181 Whitney Ranch Pkwy, #823 | Rocklin, 95765 | 489-260-011 | 3 | 2006 | 2008-0085291 | 10/31/2008 | 10/31/2038 | |
| 1251 Whitney Ranch Pkwy, #1224 | Rocklin, 95765 | 489-270-036 | 2 | 2006 | 2008-0090961 | 11/20/2008 | 11/20/2038 | |
| 1221 Whitney Ranch Pkwy, #1124 | Rocklin, 95765 | 489-270-012 | 2 | 2006 | 2008-0093972 | 12/05/2008 | 12/05/2038 | |
| 1181 Whitney Ranch Pkwy, #838 | Rocklin, 95765 | 489-260-024 | 3 | 2006 | 2008-0093991 | 12/05/2008 | 12/05/2038 | |
| 1181 Whitney Ranch Pkwy, #814 | Rocklin, 95765 | 489-260-004 | 2 | 2006 | 2008-0094437 | 12/08/2008 | 12/08/2038 | |
| 1221 Whitney Ranch Pkwy, #1113 | Rocklin, 95765 | 489-270-003 | 3 | 2006 | 2008-0096441 | 12/16/2008 | 12/16/2038 | |
| 1200 Whitney Ranch Pkwy, #611 | Rocklin, 95765 | 489-250-025 | 3 | 2006 | 2008-0098604 | 12/24/2008 | 12/24/2038 | |
| 1200 Whitney Ranch Pkwy, #616 | Rocklin, 95765 | 489-250-030 | 3 | 2006 | 2008-0100181 | 12/31/2008 | 12/31/2038 | |
| 1200 Whitney Ranch Pkwy, #638 | Rocklin, 95765 | 489-250-048 | 3 | 2006 | 2008-0100170 | 12/31/2008 | 12/31/2038 | |
| 1200 Whitney Ranch Parkway, #633 | Rocklin, 95765 | 489-250-043 | 3 | 2006 | 2008-0004785 | 01/23/2009 | 01/23/2039 | |
| 1210 Whitney Ranch Parkway, #511 | Rocklin, 95765 | 489-250-001 | 3 | 2006 | 2008-0012773 | 02/20/2009 | 02/20/2039 | |
| 1181 Whitney Ranch Parkway, #826 | Rocklin, 95765 | 489-260-014 | 3 | 2006 | 2008-0025176 | 03/30/2009 | 03/30/2039 | |
| 1201 Whitney Ranch Parkway, #816 | Rocklin, 95765 | 489-260-030 | 3 | 2006 | 2008-0026318 | 04/01/2009 | 04/01/2039 | |
| 1181 Whitney Ranch Parkway, #812 | Rocklin, 95765 | 489-260-002 | 2 | 2006 | 2008-0032808 | 04/22/2009 | 04/22/2039 | |
| 1200 Whitney Ranch Parkway, #636 | Rocklin, 95765 | 489-260-046 | 3 | 2006 | 2008-0033849 | 04/24/2009 | 04/24/2039 | |
| 1240 Whitney Ranch Parkway, #323 | Rocklin, 95765 | 489-240-035 | 3 | 2006 | 2008-0033842 | 04/24/2009 | 04/24/2039 | |
| 1181 Whitney Ranch Parkway, #822 | Rocklin, 95765 | 489-260-010 | 2 | 2006 | 2009-0035994 | 04/30/2009 | 04/30/2039 | unit sold; loan repaid |
| 1200 Whitney Ranch Parkway, #623 | Rocklin, 95765 | 489-250-035 | 3 | 2006 | 2009-0038970 | 05/12/2009 | 05/12/2039 | |
| 1200 Whitney Ranch Parkway, #632 | Rocklin, 95765 | 489-250-042 | 2 | 2006 | 2009-0041281 | 05/15/2009 | 05/15/2039 | |
| 1261 Whitney Ranch Parkway, #1232 | Rocklin, 95765 | 489-270-042 | 2 | 2006 | 2009-0042635 | 05/20/2009 | 05/20/2039 | |
| 1200 Whitney Ranch Parkway, #617 | Rocklin, 95765 | 489-250-031 | 2 | 2006 | 2009-0049187 | 05/29/2009 | 05/29/2039 | DPA + Interest Paid Off; DPA only recovery |

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|-----------------------------------|----------------|--------------------------|-----------------------|------------------|--------------------------|------------------------|-------------------------|---------------------------------------|
| Condominiums (con't) | | | | | | | | |
| 1181 Whitney Ranch Parkway, #825 | Rocklin, 95765 | 489-260-013 | 2 | 2006 | 2009-0051210 | 06/12/2009 | 06/12/2039 | unit sold; covenants remain |
| 1181 Whitney Ranch Parkway, #833 | Rocklin, 95765 | 489-260-019 | 3 | 2006 | 2009-0075880 | 07/31/2009 | 07/31/2039 | |
| 1121 Whitney Ranch Parkway, #1131 | Rocklin, 95765 | 489-270-017 | 3 | 2006 | 2009-0067654 | 07/31/2009 | 07/31/2039 | unit sold; covenants remain |
| 1200 Whitney Ranch Parkway, #637 | Rocklin, 95765 | 489-260-487 | 2 | 2006 | 2009-0067125 | 07/31/2009 | 07/31/2039 | |
| 1211 Whitney Ranch Parkway, #1037 | Rocklin, 95765 | 489-260-071 | 2 | 2006 | 2009-0073091 | 08/19/2009 | 08/19/2039 | |
| 1181 Whitney Ranch Parkway, #712 | Rocklin, 95765 | 489-260-050 | 2 | 2006 | 2009-0076336 | 08/31/2009 | 08/31/2039 | |
| 1181 Whitney Ranch Parkway, #837 | Rocklin, 95765 | 489-260-023 | 2 | 2006 | 2009-0080808 | 09/17/2009 | 09/17/2039 | |
| 1201 Whitney Ranch Parkway, #937 | Rocklin, 95765 | 489-260-047 | 2 | 2006 | 2009-0081175 | 09/18/2009 | 09/18/2039 | |
| 1211 Whitney Ranch Parkway, #1021 | Rocklin, 95765 | 489-260-057 | 3 | 2006 | 2009-0083077 | 09/25/2009 | 09/25/2039 | |
| 1240 Whitney Ranch Parkway, #3 | Rocklin, 95765 | 489-240-031 | 2 | 2006 | 2009-0090745 | 10/22/2009 | 10/22/2039 | unit sold; loan repaid |
| 1210 Whitney Ranch Parkway, #532 | Rocklin, 95765 | 489-260-018 | 2 | 2006 | 2009-0101803 | 11/25/2009 | 11/25/2039 | |
| 1251 Whitney Ranch Parkway, #1221 | Rocklin, 95765 | 489-270-033 | 3 | 2006 | 2009-0102581 | 11/30/2009 | 11/30/2039 | Interest only paid; DPA, HRA etc. Rec |
| 1211 Whitney Ranch Parkway, #1015 | Rocklin, 95765 | 489-260-053 | 2 | 2006 | 2009-0102573 | 11/30/2009 | 11/30/2039 | |
| 1181 Whitney Ranch Parkway, #831 | Rocklin, 95765 | 489-260-017 | 3 | 2006 | 2009-0102458 | 11/30/2009 | 11/30/2039 | |
| 1201 Whitney Ranch Parkway, #935 | Rocklin, 95765 | 489-260-045 | 2 | 2006 | 2010-0015342 | 03/10/2010 | 03/10/2040 | |
| 1181 Whitney Ranch Parkway, #731 | Rocklin, 95765 | 489-260-065 | 3 | 2006 | 2010-0017771 | 03/09/2010 | 03/09/2040 | |
| 1210 Whitney Ranch Parkway, #531 | Rocklin, 95765 | 489-250-017 | 3 | 2006 | 2010-0017779 | 03/09/2010 | 03/09/2040 | DPA Loan Paid Off - HRA Reassigned |
| 1240 Whitney Ranch Parkway, #326 | Rocklin, 95765 | 489-240-038 | 3 | 2006 | 2010-0018188 | 03/10/2010 | 03/10/2040 | |
| 1221 Whitney Ranch Parkway, #1125 | Rocklin, 95765 | 489-270-013 | 2 | 2006 | 2010-0018670 | 03/16/2010 | 03/16/2040 | |
| 1201 Whitney Ranch Parkway, #932 | Rocklin, 95765 | 489-260-042 | 2 | 2006 | 2010-0020499 | 03/18/2010 | 03/18/2040 | |
| 1251 Whitney Ranch Parkway, #1236 | Rocklin, 95765 | 489-270-046 | 3 | 2006 | 2010-0020836 | 03/19/2010 | 03/19/2040 | unit sold; loan repaid |
| 1221 Whitney Ranch Parkway, #1122 | Rocklin, 95765 | 489-270-010 | 2 | 2006 | 2010-0023148 | 03/29/2010 | 03/29/2040 | |
| 1181 Whitney Ranch Parkway, #821 | Rocklin, 95765 | 489-260-009 | 3 | 2006 | 2010-0024769 | 04/02/2010 | 04/02/2040 | |
| 1181 Whitney Ranch Parkway, #725 | Rocklin, 95765 | 489-260-061 | 2 | 2006 | 2010-0024796 | 04/02/2010 | 04/02/2040 | |
| 1211 Whitney Ranch Parkway, #1031 | Rocklin, 95765 | 489-260-065 | 3 | 2006 | 2010-0028303 | 04/15/2010 | 04/15/2040 | |
| 1240 Whitney Ranch Parkway, #331 | Rocklin, 95765 | 489-240-041 | 3 | 2006 | 2010-0028296 | 04/15/2010 | 04/15/2040 | |

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|--|-----------------------|--------------------------|-----------------------|------------------|--------------------------|------------------------|-------------------------|---------------------------------|
| Condominiums (cont) | | | | | | | | |
| 1181 Whitney Ranch Parkway, #716 | Rocklin, 95765 | 489-250-054 | 3 | 2006 | 2010-0029796 | 04/21/2010 | 04/21/2040 | |
| 1221 Whitney Ranch Parkway, #1127 | Rocklin, 95765 | 489-270-016 | 2 | 2006 | 2010-0029803 | 04/21/2010 | 04/21/2040 | |
| 1240 Whitney Ranch Parkway, #321 | Rocklin, 95765 | 489-240-033 | 3 | 2006 | 2010-0029810 | 04/21/2010 | 04/21/2040 | |
| 1200 Whitney Ranch Parkway, #615 | Rocklin, 95765 | 489-250-029 | 2 | 2006 | 2010-0031184 | 04/28/2010 | 04/28/2040 | |
| 1200 Whitney Ranch Parkway, #627 | Rocklin, 95765 | 489-250-039 | 3 | 2006 | 2010-0031181 | 04/28/2010 | 04/28/2040 | |
| 1210 Whitney Ranch Parkway, #527 | Rocklin, 95765 | 489-250-015 | 2 | 2006 | 2010-0032186 | 04/29/2010 | 04/29/2040 | |
| 1240 Whitney Ranch Parkway, #322 | Rocklin, 95765 | 489-240-034 | 2 | 2006 | 2010-0032535 | 04/30/2010 | 04/30/2040 | |
| 1211 Whitney Ranch Parkway, #1036 | Rocklin, 95765 | 489-260-070 | 3 | 2006 | 2010-0032548 | 04/30/2010 | 04/30/2040 | |
| 1201 Whitney Ranch Parkway, #91 | Rocklin, 95765 | 489-260-033 | 3 | 2006 | 2010-0033269 | 05/04/2010 | 05/04/2040 | unit sold; loan repaid |
| 1201 Whitney Ranch Parkway, #933 | Rocklin, 95765 | 489-260-043 | 3 | 2006 | 2010-0033333 | 05/05/2010 | 05/05/2040 | |
| 1201 Whitney Ranch Parkway, #938 | Rocklin, 95765 | 489-260-048 | 3 | 2006 | 2010-0034544 | 05/07/2010 | 05/07/2040 | |
| 1181 Whitney Ranch Parkway, #722 | Rocklin, 95765 | 489-250-056 | 2 | 2006 | 2010-0034543 | 05/10/2010 | 05/10/2040 | |
| 1200 Whitney Ranch Parkway, #634 | Rocklin, 95765 | 489-260-044 | 2 | 2006 | 2010-0035174 | 05/11/2010 | 05/11/2040 | |
| 1191 Whitney Ranch Parkway, #82 | Rocklin, 95765 | 489-260-016 | 3 | 2006 | 2010-0037770 | 05/19/2010 | 05/19/2040 | unit sold; loan repaid |
| 1211 Whitney Ranch Parkway, #1035 | Rocklin, 95765 | 489-260-069 | 2 | 2006 | 2010-0038434 | 05/21/2010 | 05/21/2040 | |
| 1201 Whitney Ranch Parkway, #925 | Rocklin, 95765 | 489-260-037 | 2 | 2006 | 2010-0038445 | 05/21/2010 | 05/21/2040 | unit sold; covenants remain |
| 1181 Whitney Ranch Parkway, #721 | Rocklin, 95765 | 489-250-057 | 3 | 2006 | 2010-0042076 | 06/04/2010 | 06/04/2040 | unit sold; covenants remain |
| 1191 Whitney Ranch Parkway, #824 | Rocklin, 95765 | 489-260-012 | 2 | 2006 | 2010-0040379 | 05/28/2010 | 05/28/2040 | |
| 1191 Whitney Ranch Parkway, #827 | Rocklin, 95765 | 489-260-015 | 2 | 2006 | 2010-0044074 | 06/11/2010 | 06/11/2040 | |
| 1221 Whitney Ranch Parkway, #1123 | Rocklin, 95765 | 489-270-011 | 3 | 2006 | 2010-0048076 | 06/25/2010 | 06/25/2040 | portion of loan repaid |
| 1221 Whitney Ranch Parkway, #1126 | Rocklin, 95765 | 489-270-014 | 3 | 2006 | 2010-0049631 | 06/30/2010 | 06/30/2040 | unit sold; covenants remain |
| 1200 Whitney Ranch Parkway, #622 | Rocklin, 95765 | 489-250-034 | 2 | 2006 | 2010-0042377 | 06/18/2010 | 06/18/2040 | |
| 1181 Whitney Ranch Parkway, #71 | Rocklin, 95765 | 489-260-089 | 3 | 2006 | 2010-0044511 | 06/14/2010 | 06/14/2040 | unit sold; loan repaid |
| 1181 Whitney Ranch Parkway, #736 | Rocklin, 95765 | 489-250-070 | 3 | 2006 | 2010-0044067 | 06/11/2010 | 06/11/2040 | |
| 1230 Whitney Ranch Parkway, #417 | Rocklin, 95765 | 489-250-037 | 2 | 2006 | 2010-0044060 | 06/11/2010 | 06/11/2040 | interest paid; covenants remain |
| 1201 Whitney Ranch Parkway, #936 | Rocklin, 95765 | 489-260-046 | 3 | 2006 | 2010-0049638 | 06/30/2010 | 06/30/2040 | |
| 1250 Whitney Ranch Parkway, #222 | Rocklin, 95765 | 489-240-010 | 2 | 2006 | 2010-0045767 | 06/17/2010 | 06/17/2040 | |

| PROPERTY ADDRESS | CITY, ZIP CODE | ASSESSOR'S PARCEL NUMBER | TOTAL SUBSIDIZED UNIT | YEAR CONSTRUCTED | COVENANT DOCUMENT NUMBER | COVENANT DOCUMENT DATE | RESTRICTIONS EXPIRATION | NOTES |
|---|-----------------------|--------------------------|-----------------------|------------------|--------------------------|------------------------|-------------------------|--|
| Condominiums (cont) | | | | | | | | |
| 1211 Whitney Ranch Parkway, #1032 | Rocklin, 95765 | 489-260-066 | 2 | 2006 | 2010-0043719 | 06/10/2010 | 06/10/2040 | |
| 1200 Whitney Ranch Parkway, #224 | Rocklin, 95765 | 489-240-012 | 2 | 2006 | 2010-0044052 | 06/11/2010 | 06/11/2040 | |
| 1250 Whitney Ranch Parkway, #223 | Rocklin, 95765 | 489-240-001 | 3 | 2006 | 2010-0049031 | 06/29/2010 | 06/29/2040 | |
| 1201 Whitney Ranch Parkway, #928 | Rocklin, 95765 | 489-260-040 | 3 | 2006 | 2010-0049023 | 06/29/2010 | 06/29/2040 | |
| 1200 Whitney Ranch Parkway, #624 | Rocklin, 95765 | 489-250-036 | 2 | 2006 | 2010-0049684 | 06/30/2010 | 06/30/2040 | Unit sold; Reassigned WRA and Affor. |
| 1251 Whitney Ranch Parkway, #1228 | Rocklin, 95765 | 489-270-038 | 3 | 2006 | 2010-0049670 | 06/30/2010 | 06/30/2040 | |
| 1250 Whitney Ranch Parkway, #213 | Rocklin, 95765 | 489-240-003 | 3 | 2006 | 2010-0049677 | 06/30/2010 | 06/30/2040 | |
| 1200 Whitney Ranch Parkway, #621 | Rocklin, 95765 | 489-250-033 | 3 | 2006 | 2010-0052923 | 07/13/2010 | 07/13/2040 | |
| 1181 Whitney Ranch Parkway, #726 | Rocklin, 95765 | 489-250-062 | 3 | 2006 | 2010-0057104 | 07/27/2010 | 07/27/2040 | |
| 1211 Whitney Ranch Parkway, #1027 | Rocklin, 95765 | 489-260-063 | 2 | 2006 | 2010-0075045 | 09/22/2010 | 09/22/2010 | Unit sold; DPA and interest paid; DPA c |
| 1250 Whitney Ranch Parkway, #214 | Rocklin, 95765 | 489-240-004 | 2 | 2006 | 2010-0075490 | 09/23/2010 | 09/23/2040 | |
| 1201 Whitney Ranch Parkway, #924 | Rocklin, 95765 | 489-260-036 | 2 | 2006 | 2010-0075497 | 09/23/2010 | 09/23/2040 | |
| 1211 Whitney Ranch Parkway, #1025 | Rocklin, 95765 | 489-260-061 | 2 | 2006 | 2010-0078320 | 09/30/2010 | 09/30/2040 | |
| 1210 Whitney Ranch Parkway, #51 | Rocklin, 95765 | 489-250-013 | 2 | 2006 | 2010-0088882 | 10/07/2010 | 10/07/2040 | unit sold; loan repaid |
| 1200 Whitney Ranch Parkway, #625 | Rocklin, 95765 | 489-250-037 | 2 | 2006 | 2010-0081260 | 10/08/2010 | 10/08/2040 | |
| 1211 Whitney Ranch Parkway, #10 | Rocklin, 95765 | | | | | | | Unit Invt; All Recovered (2015) |
| 1280 Whitney Ranch Parkway, #626 | | | | | | | | Unit Invt; DPA and Interest paid off; All Rec |
| 1210 Whitney Ranch Parkway, #51 | Rocklin, 95765 | 489-250-014 | 3 | 2006 | 2010-0081260 | 10/08/2010 | 10/08/2040 | Unit Invt 99-day mat; DPA + Interest pai |

SB 341 requirements (H&SC 34176.4(f)(12))
 An inventory of homeownership units assisted by the former redevelopment agency or the housing successor that are subject to covenants or restrictions or to an adopted program that protects the former redevelopment agency's investments from the Low and Moderate Income Housing Fund pursuant to subdivision (f) of Section 33394.3. This inventory shall include all of the following information:

See above

12(A): The number of those units
 198 units

12(B): In the first report pursuant to this subdivision, the number of units lost to the portfolio after February 1, 2012, and the reason or reasons for those losses. For all subsequent reports, the number of the units lost to the portfolio in the year and the reason for those losses.
 See highlighted/bold units

12(C): Any funds returned to the housing successor as part of an adopted program that protects the former redevelopment agency's investment of moneys from the Low and Moderate Income Housing Fund.
 \$279,178.49

12(D): Whether the housing successor has contracted with any outside entity for the management of the units and, if so, the identity of the entity.
 No.